

# Mechanical Program Verification – Part 1

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Material: <http://psc.informatik.uni-jena.de/teach/verific/course-MPV.htm>

# Overview

- **Historical Overview, Basic Concepts, Realistic Progr Verific**
- Mechanical Program Verification (MPV)
- Comparison of 3 Automatic Program Provers (APP)
- The Frege Program Prover (FPP) in More Detail
- Mechanical Generation of Invariants for FOR-Loops
- Problems of FPP (and others)
- Towards Realistic Verification Conditions (VC)
- Summary

# Part 1

- Program Verification
- Historical Overview and Basic Concepts
- References

## Program Verification

***Program  $p$  conforms to specification  $q \equiv p \leq q$***

aka:  $p$  is correct (wrt  $q$ )

$q$  is given: specifies the required behavior aka “what the program shall do”  
 $p$  has been developed

Verification *tries to show* that all possible  
*executions of  $p$*   
conform to  $Q$

=> *The ultimate goal of program development is program **execution***

Program correctness has been a concern from the very beginning

Goldstine and v. Neumann: 1 April, 1947 (“Planning and Coding Problems ...”)

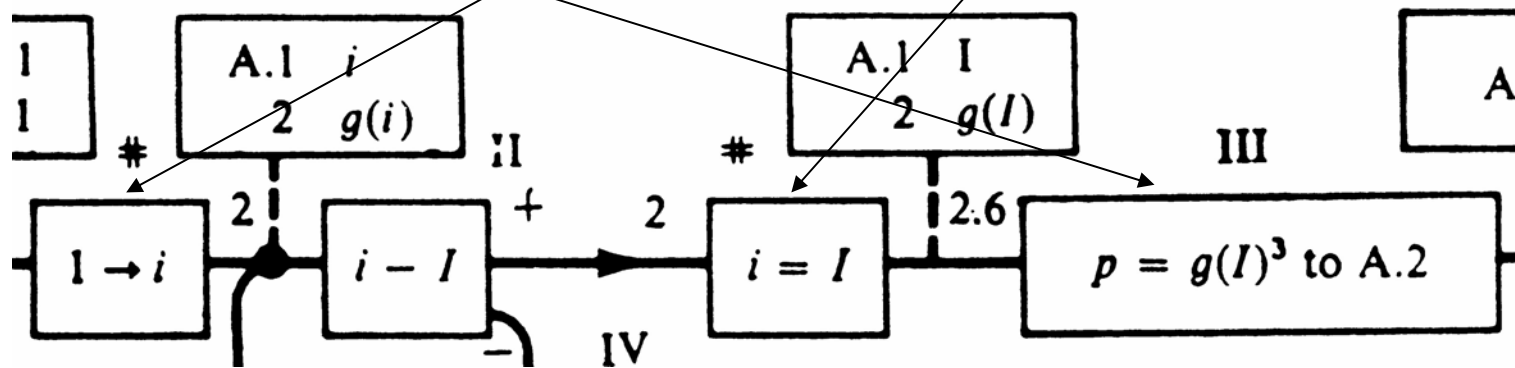
during the design phase of the EDVAC (built 1949 -1952, EDSAC 1949May06)

symbolic notation for machine instructions:  $S(x) \rightarrow AC$

„clear accumulator and add number located at position x in the Selectrons into it”

flowchart notation for programs with some higher notations:

operation boxes, substitution boxes, **assertion boxes**



attached to the operation boxes, the alternative boxes and the variable remote connections, and it will prove to be in the main only a process of static translation (cf. the end of 7.1 as well as 7.9). In order to prepare the ground for this final process of detailed (static) coding, we enumerate the operation boxes and the

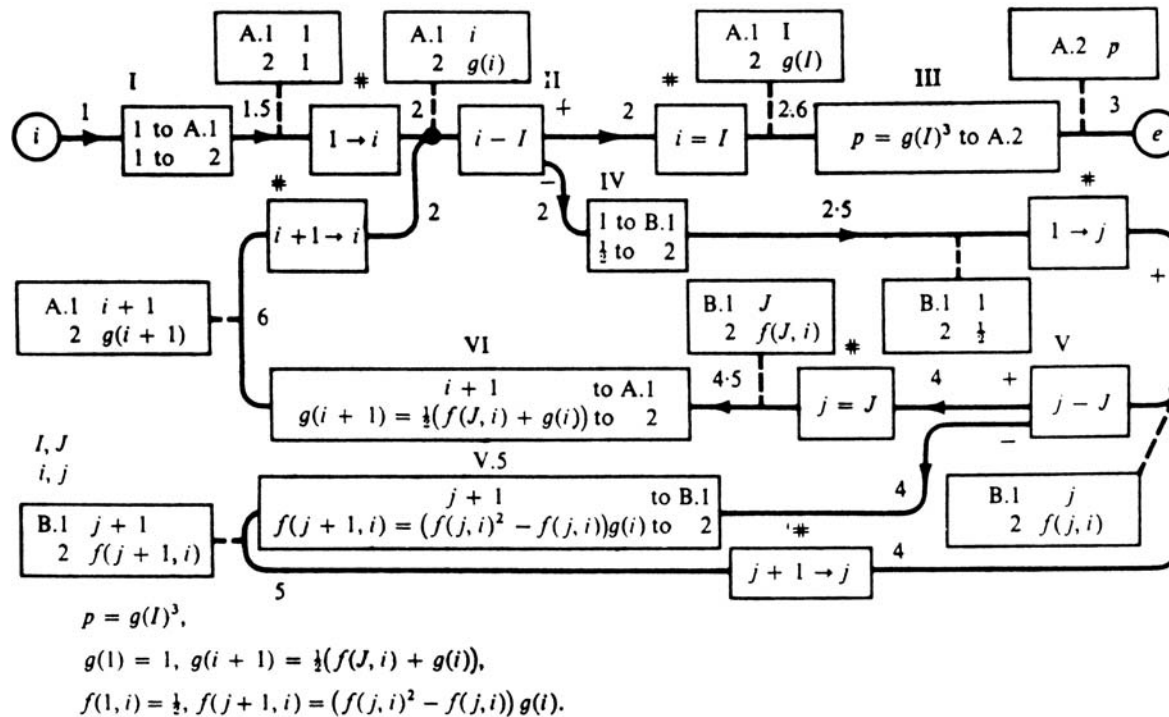
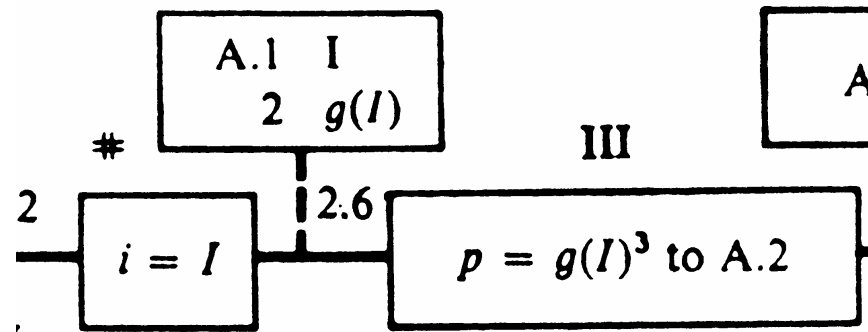


FIG. 7.10

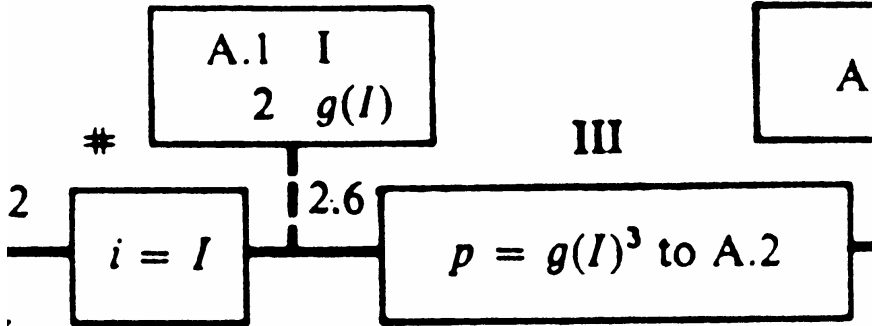


$i$ : program variable (“bound variable”) stored in variable storage

$I$ : program constant (“free variable”) stored in fixed storage

$i=I$ : an assertion that must hold whenever the computation reaches that point

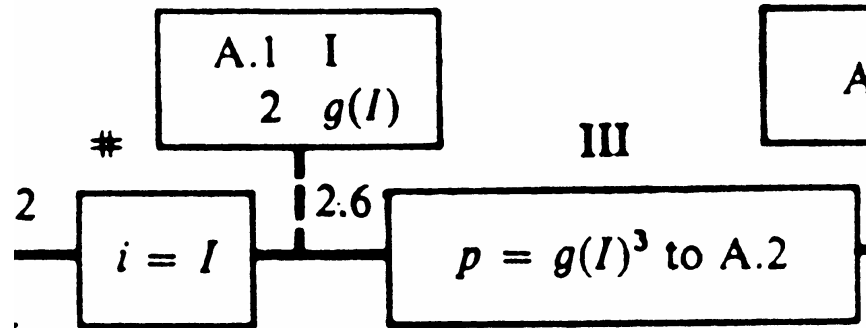
“An assertion box never requires that any specific calculations be made, it indicates only that certain relations are automatically fulfilled whenever C gets to the region which it occupies.” [GN 47: 97]



looks very similar to:  $\{ i = I \} A(2) := g(I)^3;$  Hoare

--!pre:  $i = I;$  FPP (Frege Program Prover)  
 $A(2) := (g(I))^{**3};$





Goldstine / v. Neumann:

no discussion about the correctness of the implementation of the operation boxes

Program correctness has been a concern from the very beginning

Turing 1949 (“Checking a Large Routine”)

“How can one check a routine in the sense of making sure that it is right?”

In order that the man who checks may not have too difficult a task the

programmer should make a number of definite assertions

which can be

checked individually,

and from which the correctness of the whole programme easily follows.”

[Tur 49: 70]

Program correctness has been a concern from the very beginning

Turing 1949 (“Checking a Large Routine”)

“Finally the checker has to

verify that the process comes to an end.

Here again he should be assisted by the programmer giving a further definite assertion to be verified.

This may take the form of a

quantity which is asserted to decrease continually  
and vanish when the machine stops.”

[Tur 49: 71]

modern: termination function

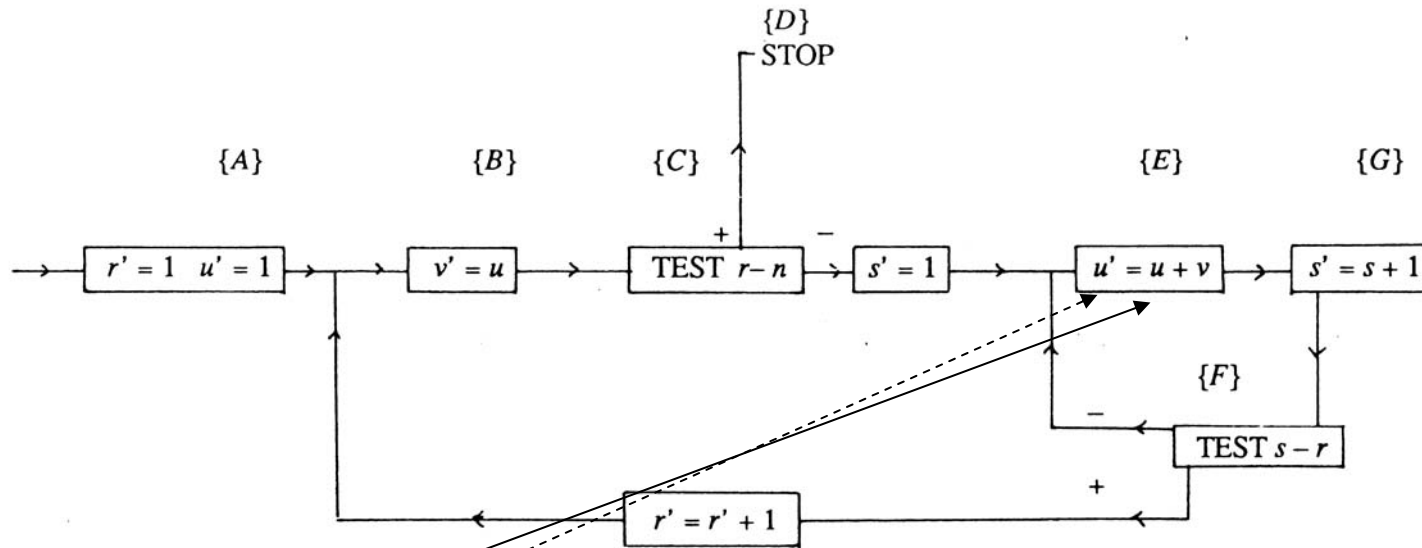


Figure 1

$u$ : initial value of variable  $u$

$u'$ : final value of variable  $u$  ("at the end of the process represented by the box")

$s$  = content of line 27 of store

...

$v$  = content of line 31 of store

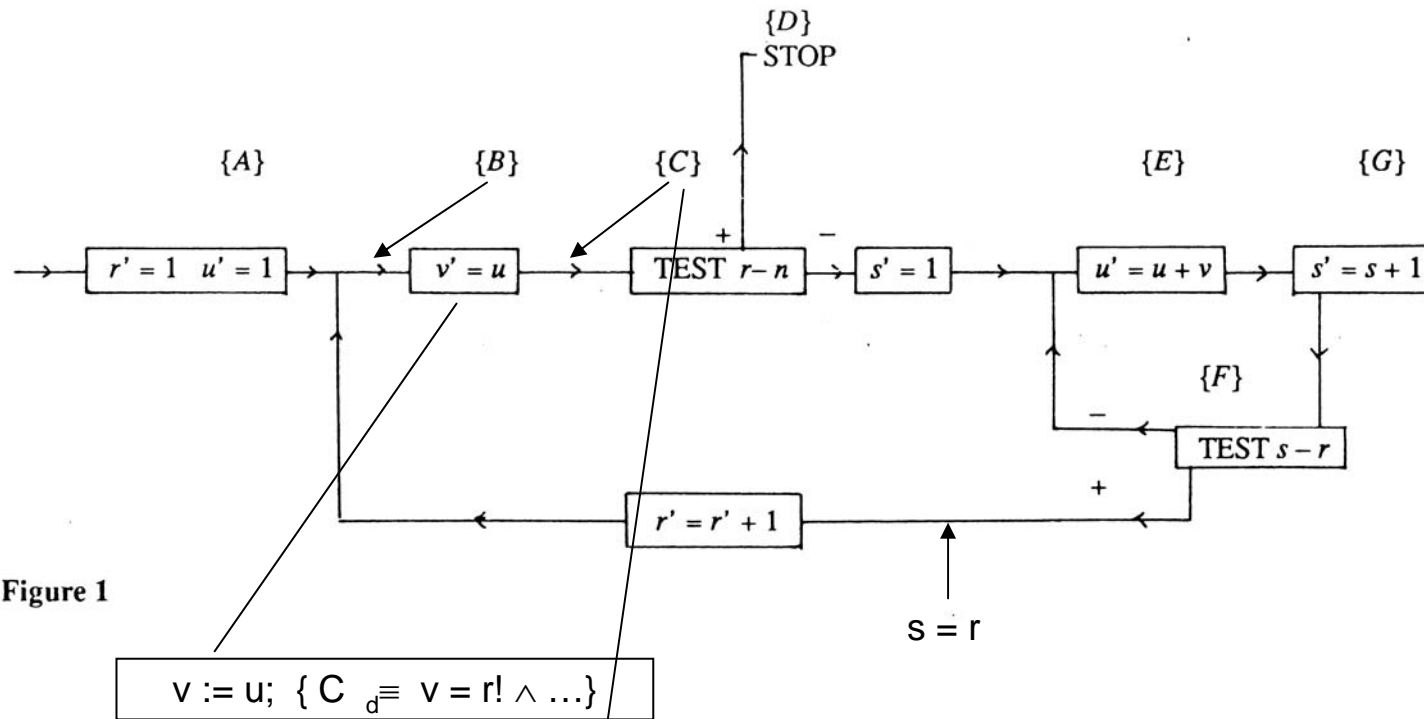


Figure 1

		(INITIAL)			(STOP)			
	Storage Location	{A}	{B}	{C}	{D}	{E}	{F}	{G}
		k = 6	k = 5	k = 4	k = 0	k = 3	k = 1	k = 2
S	27					s	s + 1	s
r	28		r	r		r	r	r
n	29	n	n	n	n	[n]	n	n
u	30		r!	r!		sr!	(s + 1)r!	(s + 1)r!
v	31			r!	n!	r!	r!	r!

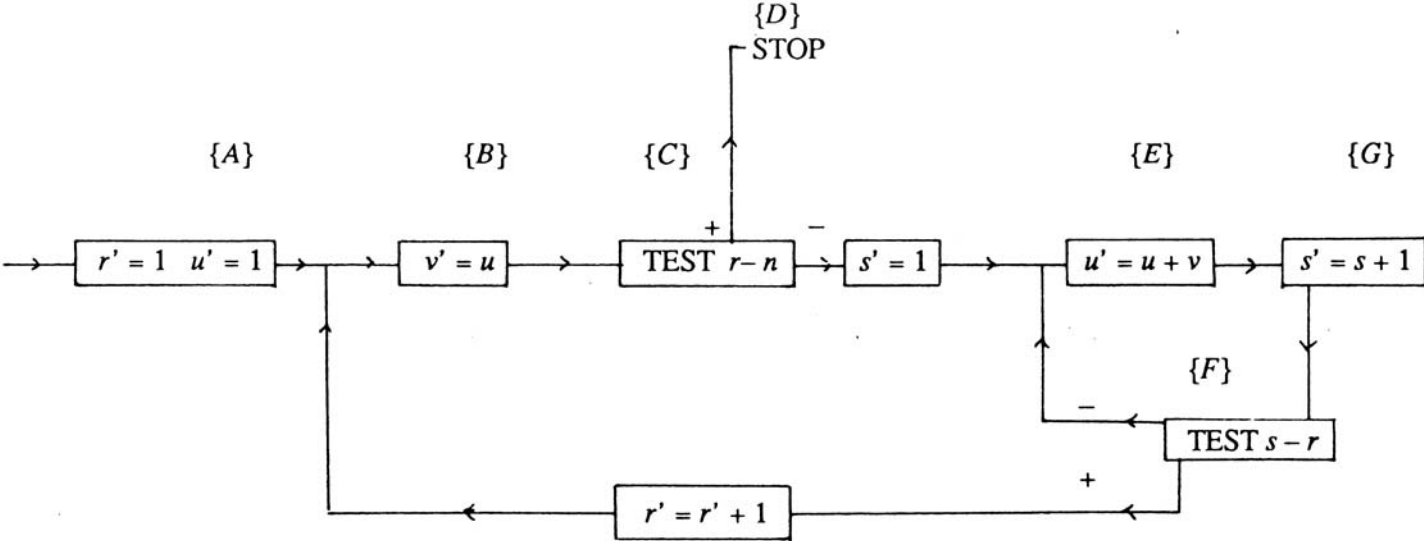


Figure 1

Turing: the operations in the boxes are very near to single machine instructions

## Program Verification: History

- 1947 Goldstine / v. Neumann : flow diagrams + assertions
- 1949 Turing : flow diagrams + assertions
- 1967 Floyd : flow diagrams + assertions
- 1969 Hoare : derivation system for valid triples  
*formalization*
- 1976 Dijkstra : function (wp) and schemas for valid triples  
*mechanization*
- 2003 Hoare : Verifying compiler as a grand challenge

## The Conformity Relation $p \leq q$

$p$  and  $q$  are relations between program states  $p, q \subseteq \Sigma \times \Sigma$

$\Sigma = \text{Var} \rightarrow \text{Val}$  the set of all mappings  
from the set of all variables  $\text{Var}$  into the set of values  $\text{Val}$

Examples:  $x, y: \text{int32};$

$$\begin{aligned} \text{Sem}(x := 10;) &= \{ ( \{(x, x^i), (y, y^i)\}, \{(x, x^f), (y, y^i)\} ) : x^i, y^i \in \text{int32} \wedge x^f = 10 \} \\ &= \{ ( \{(x, x^i), (y, y^i)\}, \{(x, 10), (y, y^i)\} ) : x^i, y^i \in \text{int32} \} \end{aligned}$$

--! pre:  $x \in \text{int32} \wedge y \in \text{int32} \wedge y = Cy$

$x := 10;$

--! post:  $x = 10 \wedge y \in \text{int32} \wedge y = Cy$



## Determinism-1

$x := 10;$  is **deterministic**,

i.e.  $\text{Sem}(x := 10;)$  is right-unique

$\{(x, 0), (y, 0)\} \mapsto \{(x, 10), (y, 0)\}$

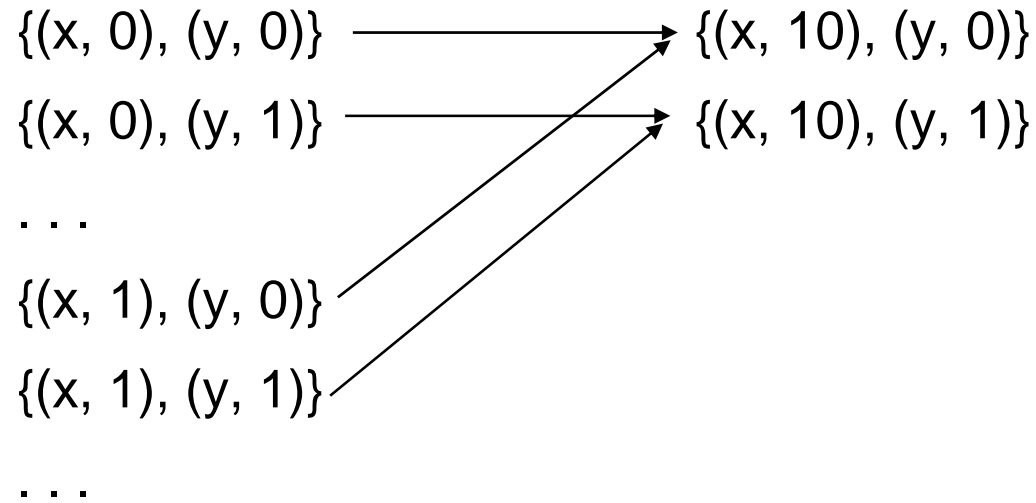
$\{(x, 0), (y, 1)\} \mapsto \{(x, 10), (y, 1)\}$

...

$\{(x, 1), (y, 0)\} \mapsto \{(x, 10), (y, 0)\}$

In this special case  $\text{Sem}(x := 10;)$  is a mapping  $\Sigma \rightarrow \Sigma$

### Determinism-2



## Determinism-3

read(x); is *nondeterministic*:

$\{(x, 0), (y, 0)\} \mapsto \{(x, -2147483648), (y, 0)\}$

$\{(x, 0), (y, 0)\} \mapsto \{(x, -2147483647), (y, 0)\}$

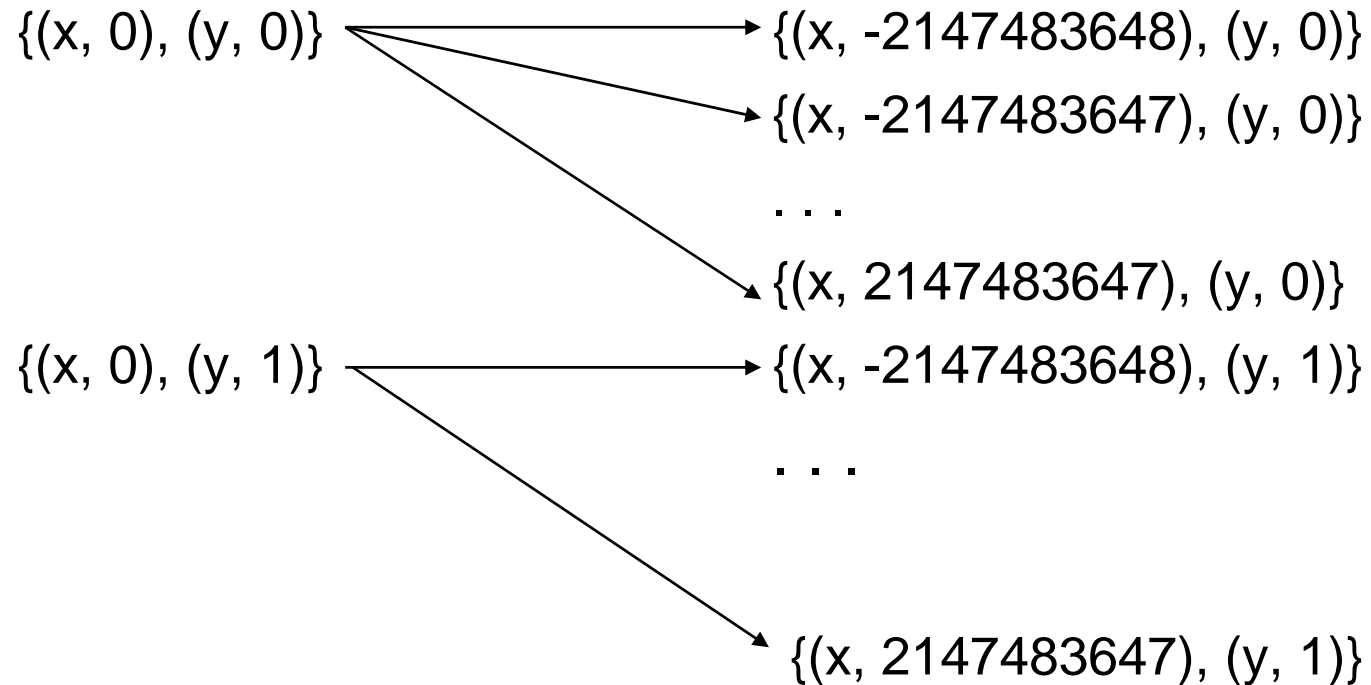
...

$\{(x, 0), (y, 0)\} \mapsto \{(x, 2147483647), (y, 0)\}$

$\{(x, 0), (y, 1)\} \mapsto \{(x, -2147483648), (y, 1)\}$

...

# Determinism-4



## The Conformity Relation $p \leq q$

$p$  and  $q$  are relations between program states  $p, q \subseteq \Sigma \times \Sigma$   
 $\text{dom}(p) \subset \Sigma$  or  $\text{dom}(q) \subset \Sigma$  may hold,  
 i.e. specs or programs need not be lefttotal

$q = \{ ( \{(x, x^i), (y, y^i)\} , \{(x, x^f), (y, y^i)\} ) :$   
 $x^i, y^i \in \text{int32} \wedge \mathbf{x^i} > \mathbf{0} \wedge (x^f = \sqrt{x^i} \vee x^f = -\sqrt{x^i}) \}$

The spec  $q$  is not lefttotal and is nondeterministic:

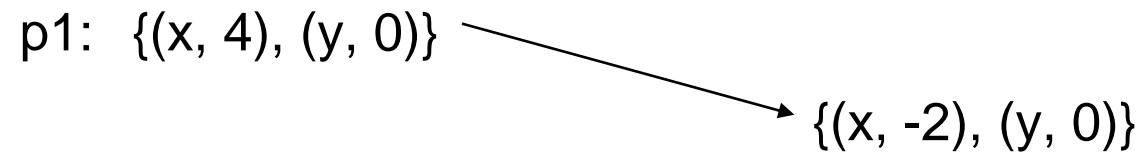
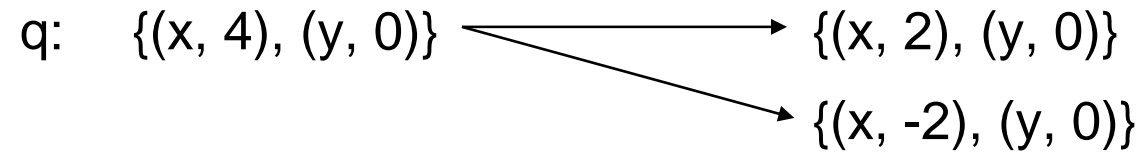
the final value of  $x$  may be  $\sqrt{x^i}$  or may be  $-\sqrt{x^i}$  (completely arbitrary choice)

If a program  $p_1$  computes always  $-\sqrt{x^i}$  then this is OK:

$p_1 = \{ ( \{(x, x^i), (y, y^i)\} , \{(x, x^f), (y, y^i)\} ) : x^i, y^i \in \text{int32} \wedge x^i > 0 \wedge \mathbf{x^f} = -\sqrt{\mathbf{x^i}} \}$

i.e.  $p_1 \leq q$  in this case  $p_1 \subset q$ ,  $p_1$  is less nondeterministic than  $q$

## The Conformity Relation $p \leq q$



$p1 \subset q$        $p1$  is less nondeterministic than  $q$

## The Conformity Relation $p \leq q$

On the other hand,

another program  $p_2$  may work for more initial values of  $x$ :

$p_2 = \{ ( \{(x, x^i), (y, y^i)\} , \{(x, x^f), (y, y^i)\} ) :$

$$x^i, y^i \in \text{int32} \wedge \mathbf{x^i} \geq \mathbf{0} \wedge (x^f = \sqrt{x^i} \vee x^f = -\sqrt{x^i}) \}$$

Again we say that  $p_2 \leq q$  i.e.  $p_2$  conforms to  $q$

In this case we have  $\text{dom}(p_2) \supset \text{dom}(q)$

## Definition of the Conformity Relation $p \leq q$

$p$  conforms to  $q$

$p \leq q \quad \stackrel{\text{d}\equiv}{=} \quad p|_{\text{dom}(q)} \subseteq q \quad \wedge \quad \text{less-equal nondeterministic}$   
 $\quad \quad \quad \text{dom}(p) \supseteq \text{dom}(q) \quad \text{may work for more i-states}$

$p3 = \{ ( \{ (x, x^i), (y, y^i) \}, \{ (x, x^f), (y, y^i) \} ) :$   
 $\quad x^i, y^i \in \text{int32} \wedge \mathbf{x^i} \geq \mathbf{0} \wedge x^f = -\sqrt{x^i} \}$       again:  $p3 \leq q$

Liberal conformity

(more strict:  $p \leq q \stackrel{\text{d}\equiv}{=} \text{dom}(p) = \text{dom}(q) \wedge p \subseteq q$ )



## Definition of the Conformity Relation $p \leq q$

$p$  conforms to  $q$

$p \leq q \stackrel{\text{d}\equiv}{=} p|_{\text{dom}(q)} \subseteq q \quad \wedge \quad \text{less-equal nondeterministic}$   
 $\text{dom}(p) \supseteq \text{dom}(q) \quad \text{may work for more i-states}$

applies generally to specs and programs:

$$q1 \leq q2$$

$$p1 \leq p2$$

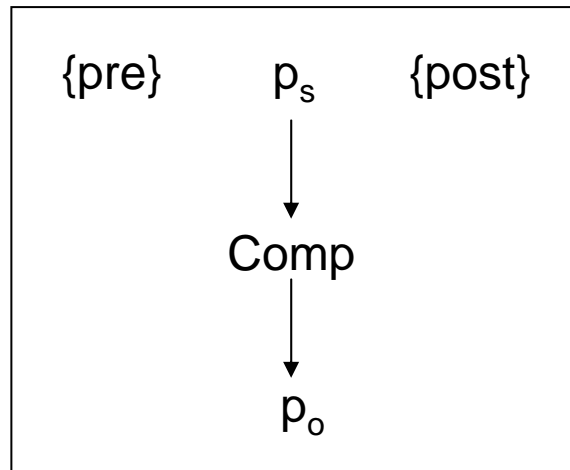
$\leq$  is transitive  $p \leq q \wedge q \leq r \Rightarrow p \leq r$

## Program Verification (serious viewpoint)

Program  $p$  : typically means high-level source-program  $p_s$   
(Ada, C#, Fortran, etc.)

Specification  $q$  : typically refers to the IO-behavior:  $q = (\text{pre}, \text{post})$   
(high-level = low-level)

Program execution: refers to the object-program  $p_o$  produced by a compiler  
(sometimes also execution by an interpreter)

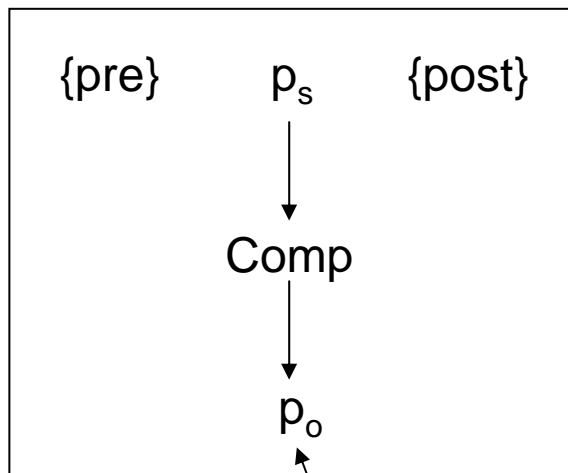


$p_s \leq (\text{pre}, \text{post})$  is not sufficient

$p_o \leq (\text{pre}, \text{post})$  is the really important thing

$p_o \leq p_s \wedge p_s \leq (\text{pre}, \text{post}) \Rightarrow p_o \leq (\text{pre}, \text{post})$

## Program Verification (serious viewpoint) - 2



$p_o \leq p_s$  refers to compiler correctness:

$\langle \forall p_s \in PL: \text{Comp}(p_s) \leq p_s \rangle$

Instead of proving  $p_o \leq p_s$  each time  
prove once that **Comp** is correct

**12** Test the system to **ensure that your compiler, linker etc. have not introduced errors** and to make sure the required performance has been achieved. If improved performance is still needed in some areas, repeat from step 8.

[http://www.eschertech.com/products/step\\_by\\_step\\_guide.php](http://www.eschertech.com/products/step_by_step_guide.php) (2006.Aug.31)

## Program Verification (serious viewpoint) - 3

### Further aspects

- Finiteness : value sets of types are finite
- Several sorts : real programs often use several types
  - also: user defined types
  - also: complex types (e.g. class, task)
  - also: pointers
- Definedness : real programs may contain undefined expressions  
 $1/0$ ,  $X := 1/(X-X)$ ;
- Exceptions : real programs may contain exception handling
- Concurrency : becomes more important in practice (e.g. multi-core)

## Program Verification (in the literature)

Program  $p$  : typically means high-level source-program fragment  $p_s$   
 (e.g. **guarded commands** [Gri 83])

Specification  $q$  : typically refers to the values of **program variables**  
 (pre, post)

Verification : shows that  **$p_s \leq (\text{pre}, \text{post})$**  holds

Program execution: no execution takes place

Usually not done :  $q_{IO} \leq (\text{pre}, \text{post})$       IO-oriented spec  $q_{IO}$   
 $p_{PL} \leq q_{IO}$                                   program in real progr lang  $p_{PL}$   
 $p_o \leq p_{PL}$

$p_o \leq p_{PL} \leq q_{IO} \leq (\text{pre}, \text{post}) \Rightarrow p_o \leq (\text{pre}, \text{post})$

surprising observation:  **$p_s \leq (\text{pre}, \text{post})$**  seems to be **superfluous**

## Program Verification (in the literature) - 2

If the spec  $q$  refers to I/O we obtain:

Verification : shows that  $p_s \leq (\text{pre}, \text{post}) = q_{I/O}$  holds

Usually not done :  $p_{PL} \leq p_s$  program in real progr lang  $p_{PL}$

$$p_o \leq p_{PL}$$

$$p_o \leq p_{PL} \leq p_s \leq (\text{pre}, \text{post}) \Rightarrow p_o \leq (\text{pre}, \text{post})$$

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## Program Verification (in the literature) - 3

### Further aspects

- Finiteness** : typically: integer =  $\mathbb{Z}$   
“the numerical size of MAXINT does not belong to a language definition” [TZ 88: 7]
- Several sorts** : typically: integer and Boolean
- Definedness** : typically: only well-defined expressions  
e.g. no division operation in [TZ 88]
- Exceptions** : typically: no exception handling
- Concurrency** : typically: only simple sequential commands

## Why this Confrontation of Theory and Practice ?

- NOT to blame any theoretician !!!
- remind us of our ultimate goal
- show that we are a far cry from this goal
- indicate which path we should follow in our work towards (mechanical) program verification



## Turing mentioned already the essential aspects

- correctness: “routine ... is right?”
- interspersed assertions to ease verification  
compositionality of the verification of the whole program
- initial and final values of program variables  
very variable nature of program variables
- finite value ranges    <=====
- termination function

### Missing:

- formal semantics    (the semantics of the operations was quite obvious)
- specification as a formal object
- calculus for verification

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